SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(n) of the investment Company Act of 1940										
			2. Date of Event Re Statement (Month/		3. Issuer Name and Ticker or Trading Symbol <u>Rocky Mountain Chocolate Factory, Inc.</u> [RMCF]					
Ford Androw			09/22/2022	· , · · ,	[KOCKY WOUMan Chocolaic Factory, IIC. [KMCF]					
(Last)	(First)	(Middle)			4. Relationship of Reporting Person(s (Check all applicable)	,		5. If Amendment, Date of Original Filed (Month/Day/Year)		
265 TURNER DRIVE				Director X Officer (give title below)	10% Owner Other (spec below)	ify Ap	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)					VP Sales and Mar	,		X Form filed by One Reporting Person		
1 · · ·	DURANGO CO 81303				vi Sales and Ivia	incuing		Form filed by More than One Reporting Person		
								Feison		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				eneficially Owned (Instr. 4)	3. Ownership4.Form: Direct (D) or5)Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise	(D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date	Expiration		Amount or Number	Price of Derivative Security	Indirect (I) (Instr. 5)		
			Exercisable	Date	Title	of Shares			<u> </u>	

Explanation of Responses:

No securities are beneficially owned.

/s/ Tracy D. Wojcik, Attorney In 07/31/2023

Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints Tracy D. Wojcik and Allen Arroyo with full power to act as the undersigneds true and lawful attorneys-in-fact, with full power of substitution, to:

1) execute for and on behalf of the undersigned, in the undersigneds capacity as an officer and/or director and/or beneficial owner of Rocky Mountain Chocolate Factory, Inc. (the Company) the Form ID Application, Update Passphrase, Consent of Reporting Person and Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended, and the rules thereunder;

2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form ID Application, Update Passphrase and Consent of Reporting Person and Forms 3, 4 and 5, complete and execute any amendment or amendments thereto, and timely file each such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-facts discretion.

The undersigned hereby grants to the attorneys-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact or such attorney-in-facts substitute or substitutes shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.

This power of attorney shall remain in full force and effect until revoked by the undersigned in a signed writing delivered to the attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 26th day of July, 2023.

/s/ Andrew Ford Andrew Ford